



7-23-04

2664

Attorney Docket No.: 81862P232D

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Ethan Spiegel, et al.

Application No.: 09/852,755

Filed: May 9, 2001

For: FORMAT FOR AUTOMATIC  
GENERATION OF UNIQUE ATM  
ADDRESSES USED FOR PNNI

Examiner:

Art Unit: 2664

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Commissioner for Patents  
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Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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Applicants wish to bring the following to the Examiner's attention. In the prior art, Whitetree, Inc. (later acquired by Ascend Communications, Inc., which was later acquired by Lucent Technologies, Inc.) was using an address auto-configuration scheme wherein the first portion of the ATM address was a unique value for the manufacturer. Prior to the June 17, 1997 filing date of the present patent application, Newbridge Networks (later acquired by Alcatel) and Fore Systems (later acquired by Marconi Corporatin PLC) may have had ATM address auto configuration schemes. Prior to the June 17, 1997 filing date of the present patent application, Newbridge expressed an intent to have ATM address auto-configuration.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

XXX 37 C.F.R. §1.97(b).

\_\_\_\_\_ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e) or

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

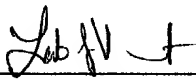
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: July 21, 2004



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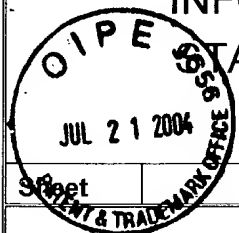
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## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

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Sheet	1	of	4	Application Number	09/852,755
				Filing Date	May 9, 2001
				First Named Inventor:	Ethan Spiegel
				Art Unit	2664
				Examiner Name	
				Attorney Docket Number	81862P232D

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-	4,827,411	5/2/1989	Arrowwood et al.	
		US-	4,965,767	10/23/1990	Kinoshita et al.	
		US-	4,965,772	10/23/1990	Daniel et al.	
		US-	5,115,495	5/19/1992	Tschuiya et al.	
		US-	5,119,367	6/2/1992	Kawakatsu et al.	
		US-	5,128,926	7/7/1992	Perlman et al.	
		US-	5,189,662	2/23/1993	Kleine-Altekamp	
		US-	5,233,604	8/3/1993	Ahmadi et al.	
		US-	5,452,294	9/19/1995	Natarajan	
		US-	5,477,541	12/19/1995	White et al.	
		US-	5,485,455	1/16/1996	Dobbins et al.	
		US-	5,517,617	5/14/1996	Sathaye et al.	
		US-	5,555,244	9/10/1996	Gupta et al.	
		US-	5,602,770	2/11/1997	Ohira	
		US-	5,604,868	2/18/1997	Komine et al.	

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## FOREIGN PATENT DOCUMENTS

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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

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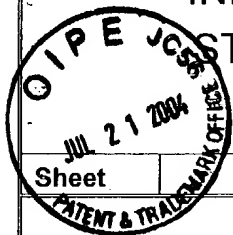
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## STATEMENT BY APPLICANT

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Sheet

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of

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		Number-Kind Code <sup>2</sup> (If known)				
		US-	5,632,021	5/20/1997	Jennings et al.	
		US-	5,634,010	5/27/1997	Ciscon et al.	
		US-	5,638,359	6/10/1997	Peltola et al.	
		US-	5,644,718	7/1/1997	Belove et al.	
		US-	5,659,684	8/19/1997	Giovannoni et al.	
		US-	5,666,353	9/9/1997	Klausmeier et al.	
		US-	5,673,265	9/30/1997	Gupta et al.	
		US-	5,678,006	10/14/1997	Valizadeh et al.	
		US-	5,694,390	12/21/1997	Yamato et al.	
		US-	5,740,097	4/14/1998	Satoh	
		US-	5,748,617	5/5/1998	McLain, Jr.	
		US-	5,761,192	6/2/1998	Hummel	
		US-	5,796,736	8/18/1998	Suzuki	
		US-	5,835,710	11/10/1998	Nagami et al.	
		US-	5,940,396	8/17/1999	Rochberger	

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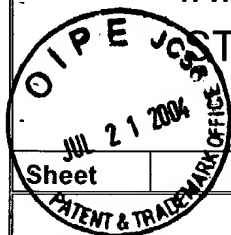
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Sheet

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of

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## Complete if Known

Application Number 09/852,755

Filing Date May 9, 2001

First Named Inventor: Ethan Spiegel

Art Unit 2664

Examiner Name

Attorney Docket Number 81862P232D

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		Number-Kind Code <sup>2</sup> (If known)				
		US-	6,122,272	9/19/2000	Tomaszewski et al.	
		US-	6,167,030	12/26/2000	Killki et al.	
		US-	6,356,530 B1	3/12/2002	Tomaszewski et al.	
		US-	6,611,496 B1	8/26/2003	Tomaszewski et al.	
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				Application Number	09/852,755
				Filing Date	May 9, 2001
				First Named Inventor:	Ethan Spiegel
				Art Unit	2664
				Examiner Name	
Attorney Docket Number	81862P232D				
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
		ATM Forum User-Network Interface Specification (v.3.1) Table of Contents vii-xxv & pgs. 159-164 (1995).			
		The ATM Forum Technical Committee, "Private-Network-Network Interface Specification Version 1.0 (PNNI 1.0)," ATM Forum document number af-pnni-0055.000, March 1996			
		Bill Mayo, "Patent Declaration," The ATM Forum, <a href="http://www.atmforum.com/standards/113.html">http://www.atmforum.com/standards/113.html</a> (2002)			
		Applicants' Statement on page 2 of the attached Information Disclosure Statement			

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Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

PTO ST.16 Kind Codes

	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change

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